

SANDY UPPER SCHOOL



DBS POLICY 2017-18

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DBS Policy

Contents

- 1. Introduction 2
- 2. Policy Statement 3
- 3. Recruitment procedures 3
- 4. Start date 4
- 5. What to do if a criminal record is revealed on a Disclosure 4
- 6. DBS update service 5
- 7. Rechecks 5
- 8. Single Central Record 5
- 9. Disqualification by Association 6
- 10. Monitoring, Evaluation and Review 6

1 Introduction

- 1.1 This policy sets out the requirements for obtaining Disclosure and Barring Service (DBS) checks to enable us to access relevant information in order to protect children who attend Sandy Upper School.
- 1.2 In this policy “**Regulated Activity**” means the activities listed in the HM Government factual note on Regulated Activity in relation to Children which can be found at www.gov.uk.
- 1.3 Usually a job applicant has no legal obligation to reveal spent convictions and it is illegal for an employer to ask whether an applicant has any spent convictions. However certain areas of employment are exempt from this rule under the Rehabilitation of Offenders Act 1974 (Exceptions 1975) Order. This includes employment in a ‘Regulated Activity’
i.e. employment which involves contact with children or vulnerable adults. This exemption therefore applies to positions within Sandy Upper School. We use the DBS to undertake checks on the people who work or volunteer for us, as detailed in this policy.
- 1.4 There are three levels of DBS check; Standard, Enhanced and an Enhanced Check with List Checks Disclosure. A Standard DBS Disclosure contains details of spent and unspent convictions, cautions, reprimands and warnings held on the Police National Computer. Certain old and minor cautions and convictions may be removed from disclosure. An Enhanced Disclosure contains the details of the individual’s convictions (spent and unspent), cautions (spent and unspent), police reprimands and warnings as well as relevant police information. An Enhanced Disclosure with Barred List Checks (sometimes known as an enhanced check for regulated activity) contains the same information as the Enhanced Disclosure, but additionally information held on the barred lists. The DBS manages two barred lists; one list holds information on individuals who are unsuitable for working with children, the other on those who are unsuitable for working with vulnerable adults. Individuals placed on either list are not allowed to work with the relevant group. An individual can be on both lists.
- 1.5 Prohibition orders prevent a person from carrying out teaching work in schools, sixth form colleges, 16 to 19 academies, relevant youth accommodation and children's homes in England. A person who is prohibited from teaching must not be appointed to work as a teacher in such a setting. Employer access online is a free service which can be used to check prohibition orders.
- 1.6 Since all of our governors, employees and volunteers have some involvement of working with children, young people or vulnerable adults, the vast majority of posts within Sandy Upper School have been assessed as requiring an Enhanced Check with Lists Checks Disclosure which, for the avoidance of doubt, shall include (but will not be limited to):
- employees who work in our School
 - governors
 - other individuals where considered appropriate e.g. volunteers and consultants.

We also reserve the right to request that third party contractors who provide services or works at Sandy Upper School undertake DBS checks on request and comply with all reasonable instructions to our satisfaction with regard to safeguarding and recruitment of staff.

2 Policy statement

- 2.1 We are committed to ensuring, as far as possible, that the staff employed by Sandy Upper School, in either a paid or unpaid capacity, pose no threat to children, young people or vulnerable adults.
- 2.2 All staff recruited to positions involving contact with children (under 18's) or vulnerable adults and to positions which give access to information about these individuals, will be required to complete an Enhanced DBS with Lists Check Disclosure check prior to initial appointment and as often as considered appropriate by Sandy Upper School thereafter, as part of the conditions of their employment. Please see section 7 of this policy regarding the specific circumstances when a recheck would be required.
- 2.3 Any convictions disclosed will be considered fairly in line with Sandy Upper School's Equality Policy, taking into account the nature and circumstances of the offence and its relevance to the post. We undertake not to discriminate unfairly against any subject of a DBS check on the basis of a conviction or other information revealed and we are committed to the fair treatment of our staff, potential staff or users of our services, regardless of race, gender, religion, sexual orientation, responsibilities for dependents, age, physical/mental disability or offending background.
- 2.4 We undertake to comply fully with the relevant sections relating to pre-employment vetting and retention of recruitment records of the Employment Practices Code which is issued by the Information Commissioner and the DBS Code of Practice regarding the correct handling, use, storage, retention and disposal of Disclosures and Disclosure information. Sandy Upper School will also comply fully with its obligations under the Data Protection Act 1998 and other relevant legislation pertaining to the safe handling, use, storage, retention and disposal of Disclosure information. We acknowledge that information about the commission, or alleged commission, of criminal offences is sensitive personal data for the purposes of the Data Protection Act 1998.
- 2.5 A trained Safeguarding Officer will be appointed at Sandy Upper School and also at each local governing committee.

3 Recruitment procedures

- 3.1 Where a DBS disclosure is required for the post, the job advertisement and job description should include a statement indicating that offers of employment will be made subject to a satisfactory Enhanced DBS check with Lists Check Disclosure.
- 3.2 Candidates will be asked to disclose any previous convictions on the application form. We will ask that this information is sent under separate, confidential cover, to a designated person within Sandy Upper School and we guarantee that this information will only be seen by those who need to see it as part of the recruitment process.
- 3.3 Failure to disclose a conviction or to provide truthful information which subsequently comes to our attention may lead to disciplinary action against the individual including dismissal. In addition, a failure to answer a question about previous convictions during the recruitment process will be a valid reason to withhold employment or to dismiss the individual.
- 3.4 Once an offer of employment has been accepted, the successful candidate will be asked to complete a DBS application form and to provide the necessary evidence for verification of identity (original certificates are required.)
- 3.5 The person verifying the application must complete their section of the form using the evidence of identification presented by candidates, taking care to ensure vigilance in checking these and accuracy in completion.
- 3.6 Once the identity check has been completed, the completed form will be sent to Bedford Borough Council Safeguarding and then DBS for processing.

- 3.7 Where a recruitment agency is used, the School must ensure that the agency has carried out the appropriate checks on the individual before they start work.
- 3.8 As DBS certificates are issued to the individual on whom the check was carried out, (not directly to Sandy Upper School), the School, will need to ask the applicant for sight of their DBS certificate.
- 3.9 We reserve the right to include a continuing disclosure obligation in our employment contracts.
- 3.10 Sandy Upper School and all of its schools are required to keep a single central register of recruitment and vetting checks in accordance with the Education (Independent School Standards) (England) Regulations 2010.

4 Start date

- 4.1 An Enhanced DBS check with Lists Disclosure should be sought immediately once an offer of employment is accepted, so that Sandy Upper School can review the contents of the disclosure before the individual commences employment. Candidates should be encouraged to provide a copy of the Enhanced DBS certificate to the relevant contact at the School as soon as possible in order to avoid delays with the recruitment process.
- 4.2 Where possible, the start date should be set to allow sufficient time for the return and clearance of the DBS disclosure. If it is not possible to delay the start date, or if there is any delay in receiving the disclosure, the successful candidate may start employment; subject to a satisfactory medical clearance and a second written reference, where at least one written reference has been received provided that the individual does not engage in a Regulated Activity. ***No unsupervised access to young people or vulnerable adults must be allowed prior to satisfactory disclosure.***
- 4.3 It is the responsibility of the Principal to establish appropriate supervision arrangements and/or an adjustment to the individual's duties until a satisfactory DBS check is received. If appropriate supervision arrangements cannot be put in place, the individual **must not** start work until the checks are received by Sandy Upper School.
- 4.4 Two written employment references from previous employers are also required by GST before appointment is confirmed.

5 What to do if a criminal record is revealed on a Disclosure

- 5.1 Past convictions will not necessarily be a bar to obtaining a position. Consideration must be given to the nature and circumstances of the offence and its relevance to the post applied for and Sandy Upper School will carry out a risk assessment which is relevant to the position and situation and seek advice from their HR Provider (currently Bedford Borough Council). Where there is a potential concern the Principal will request that the employee/candidate bring a copy of their disclosure to a meeting with them to discuss the concern. The Principal must notify the Chair of Governors (safeguarding Governors) of any convictions revealed by the checks and they will decide whether the individual should be appointed, taking into account views of the Principal and HR Provider. Any matters revealed by disclosure that will affect a recruitment decision will be discussed with the employee/candidate prior to a decision being made.
- 5.2 Under the Rehabilitations of Offenders Act 1974 (Exceptions) Order 1975 (Amendment) (England and Wales) Order 2013, certain protected convictions and cautions will not be required to be disclosed in a DBS and Sandy Upper School will not be entitled to ask questions about them or to rely on them to refuse employment or dismiss an employee. This includes, for example:
- a. a conviction received by a person aged under 18 at the time of the offence resulting in a non-custodial sentence after five and a half years has lapsed (unless it related to an offence specified as never being eligible for filtering); and
 - b. a caution administered to a person aged under 18 at the time of the offence after two years has lapsed (unless it related to an offence specified as never being eligible for filtering).

- 5.3 Sandy Upper School will notify the DBS if it dismisses a person for harming a child or putting a child at risk of harm (or if it would have dismissed that person had the person not left their employment) as it is a criminal offence not to do so.

6 DBS Update Service

- 6.1 The DBS update service allows applicants to keep their DBS certificates up to date online and to take it with them from role to role if the applicant pays an online fee. It also allows employers to check a certificate online. There is currently no registration process or fee for employers to check a certificate online, but employers:
- a. must be legally entitled to carry out a check
 - b. have the worker's written permission.

Sandy Upper School reserves the right to use the DBS update service via Bedford Borough Council to check that an individual's DBS check is still current, subject to the requirements above.

7 Rechecks

- 7.1 No further checks are required for any staff unless the person has a break in service of more than three months. Please note that extended sickness or maternity leave does not constitute a break of service. However, Sandy Upper School may request an enhanced DBS check with barred list information should there be concerns and bearing in mind the duty schools are under not to allow a barred person to work in regulated activity.

8 Single Central Record

- 8.1 Sandy Upper School is required to keep a fully up-to-date Single Central Record containing whether or not the following checks have been carried out or certificates obtained, and the date on which the checks were completed:
- an identity check
 - a barred list check
 - an enhanced DBS check
 - a prohibition from teaching check

- further checks on people living or working outside the United Kingdom (UK)
- a check of professional qualifications
- a check to establish the person's right to work in the UK.

9 Disqualification by Association

9.1 As Sandy Upper School is an Upper School ages 13 – 19 there is no requirement for staff to complete a disqualification by association form as the following does not apply to any staff member:

- work in the early years provision (including teaching staff and support staff working in school nursery and reception classes)
- work in later years provision for children who have not reached the age of eight, including before-school settings such as breakfast clubs and afterschool provision
- are directly concerned with the management of such early or later years provision.

9.2 For information - A disqualification by association form provides relevant information about a person who lives or works in the same household as a staff member and guards against an individual working with children who may be under the influence of someone who lives with them who may pose a risk to children.

i.e. 'by association'. A person is automatically disqualified if they live in the same household as another person who is disqualified or in a household where a disqualified person is employed.

10 Monitoring, Evaluation and Review

10.1 This policy will be reviewed by Sandy Upper School every year.